

# COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION

## UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

**BOX PCT**

### COMPLETION For PCT Cases Only

Attn: Application Division

In re PATENT APPLICATION of  
Inventor(s): MARGISON ET ALAppln. No.: 09 | 700,259  
Series Code ↑ | Serial No. ↑Atty. Dkt. P 275388 | P75076US  
M# | Client Ref

National Phase Field

Based on PCT GB99 | 01362  
↑ Country Code & YearTitle: GENE THERAPY VECTORS AND THEIR USE IN  
ANTITUMOUR THERAPYDate: October 30, 2001

### FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents  
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
  - a. ☐ Request;
  - b. ☐ Abstract
  - c. ☐ pgs. Spec. and Claims;
  - d. ☐ Translation verification
  - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached (   page(s))
  - a. ☐ plus Annex of family members (   page(s))
5. **Information Disclosure Statement** including
  - a. ☐ From PTO-1449 listing documents
  - b. ☐ Copies of document(s) listed on Form PTO-1449
  - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. 1 (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings:    sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☒ Attached: SUBMISSION12. ☒ Preliminary Amendment:13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 2515. **CLAIMS FEES** ☐ previously paid ☐ paid herewith as follows:15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	55	minus 20 =	35	x \$18/\$9	+315	966/967
17. Independent Claims	2	minus 3 =	0	x \$84/\$42	+0	964/965
18. If any proper multiple dependent claim (ignore improper) is present,				\$280/\$140	+140	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+65	154/254
20. SUBTOTAL					\$520	
21. Original due date: May 30, 2001						
22. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	+980
				(2mos)	\$400/\$200 =	
				(3mos)	\$920/\$460 =	
				(4mos)	\$1,440/\$720 =	
				(5mos)	\$1960/980 =	
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .				\$130	+0	156
24. If "assignment" box 6 is X'd, add recording fee. . . . .				\$40	+40	581
25. TOTAL FEE ENCLOSED =					\$1540	

(Our Deposit Account No. 03-3975)

(Our Order No. 40432 | 0275388

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

1600 Tysons Boulevard  
 McLean, VA 22102  
 Tel: (703) 905-2000

By Atty: Paul N. Kokulis

Reg. No. 16773

Sig: 

Fax: (703) 905-2500  
 Tel: (703) 905-2118

PNK/MAH

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**

11/01/2001 LLANDGRA 00000054 09700259

01 FC:969	140.00 OP
02 FC:967	315.00 OP
03 FC:254	65.00 OP

11/01/2001 LLANDGRA 00000054 09700259

04 FC:228	980.00 OP
-----------	-----------

30 OCT 2001

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

MARGISON ET AL

Serial No. 09/700,259

Group Art Unit: Unknown

Filed: November 14, 2000

Examiner: Unknown

For: GENE THERAPY VECTORS  
AND THEIR USE IN ANTITUMOUR  
THERAPY

October 30, 2001

SUBMISSION


Hon. Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

It is to be noted that the application papers as submitted herewith include an additional inventor, Jolyon H. Hendry, who was inadvertently not listed when the application was originally filed on November 14, 2000.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By 

Paul N. Kokulis  
Reg. No. 16773

PNK:mh  
1600 Tysons Boulevard  
McLean, Virginia 22102  
Phone: (703) 905-2118